SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			of Section So(n) of the investment Company Act of 1940									
1. Name and Add	•	g Person [*]	2. Issuer Name and Ticker or Trading Symbol Colony Capital, Inc. [CLNY]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
<u>Diefenderfer Jeannie</u>				X	Director	10% Owner						
			-	4	Officer (give title	Other (specify						
(Last)	Last) (First) (Middle) C/O COLONY CAPITAL, INC.		3. Date of Earliest Transaction (Month/Day/Year)		below)	below)						
C/O COLONY	Y CAPITAL, I	INC.	05/07/2020									
515 SOUTH I	FLOWER STR	REET, 44TH FLOOR										
(Street)			- 4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)								
LOS				X	Form filed by One Re	porting Person						
ANGELES CA		90071	_		Form filed by More th Person	an One Reporting						
(City)	(State)	(Zip)										
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(
Class A Common Stock	05/07/2020	A ⁽¹⁾		81,219	Α	\$0.00	81,219	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

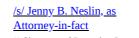
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	te bonth/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) Transaction of Code (Instr. 8) Sect Acq (A) C		of Deriv Secu Acqu (A) o	f Expiration Date (Month/Day/Year) ecurities cquired A) or				e and int of rities rlying ative rity (Instr. 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
						of (D) (Instr. 3, 4 and 5)				Amount or			Transaction(s) (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Number of Shares				

Explanation of Responses:

1. Represents the receipt of restricted Class A common stock granted by the Issuer to the reporting person in accordance with the Issuer's non-executive compensation policy in connection with the reporting person's recent election to the Issuer's board of directors. The restricted shares are scheduled to vest on May 7, 2021. The amount of restricted shares was determined by dividing the fixed grant value of \$160,000 by the closing price of the Issuer's common stock on the New York Stock Exchange on the business day prior to the grant date.

Remarks:



05/11/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).